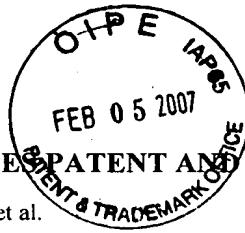


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Docket No. 295734US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kentarou TAMAKI, et al.

SERIAL NO: 10/591,913

GAU:

FILED: September 7, 2006

EXAMINER:

FOR: METHOD FOR MANUFACTURING OPTICAL WAVEGUIDE CHIP

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Surinder Sachar

Norman F. Oblon
Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar
Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 295734US0PCT		SERIAL NO. 10/591,913	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT		Kentarou TAMAKI, et al.			
FEB 05 2007		FILING DATE		September 7, 2006			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
		AO 54 88146	07/13/1979	JP (with English abstract)	YES	NO	NO
		AP 2003 246930	09/05/2003	JP (with English abstract and computer generated translation)	YES	NO	NO
		AQ 2001 318248	11/16/2001	JP (with English abstract and computer generated translation)	YES	NO	NO
		AR 2003 227949	08/15/2003	JP (with English abstract and computer generated translation)	YES	NO	NO
		AS 63 60409	03/16/1988	JP (with English abstract)	YES	NO	NO
		AT 1 316710	12/21/1989	JP (with English abstract)	YES	NO	NO
		AU			YES	NO	
		AV			YES	NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner						Date Considered	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No: 10/591,913

Filed: September 7, 2006

Kentarou TAMAKI, et al.

Docket No. 295734 US



STATEMENT OF RELEVANCY

- 1) References AO-AS have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References [redacted] have been cited in the corresponding [redacted] Search Report. A copy of these references is being submitted herewith.
- 3) Reference AT is discussed in the specification. A copy of that reference is being submitted herewith.
- 4) References [redacted] are additional prior art known to Applicant. A copy of these references is being submitted herewith.